



GREAT CHATWELL

Engage • Inform • Inspire

Financial Crime Compliance Support

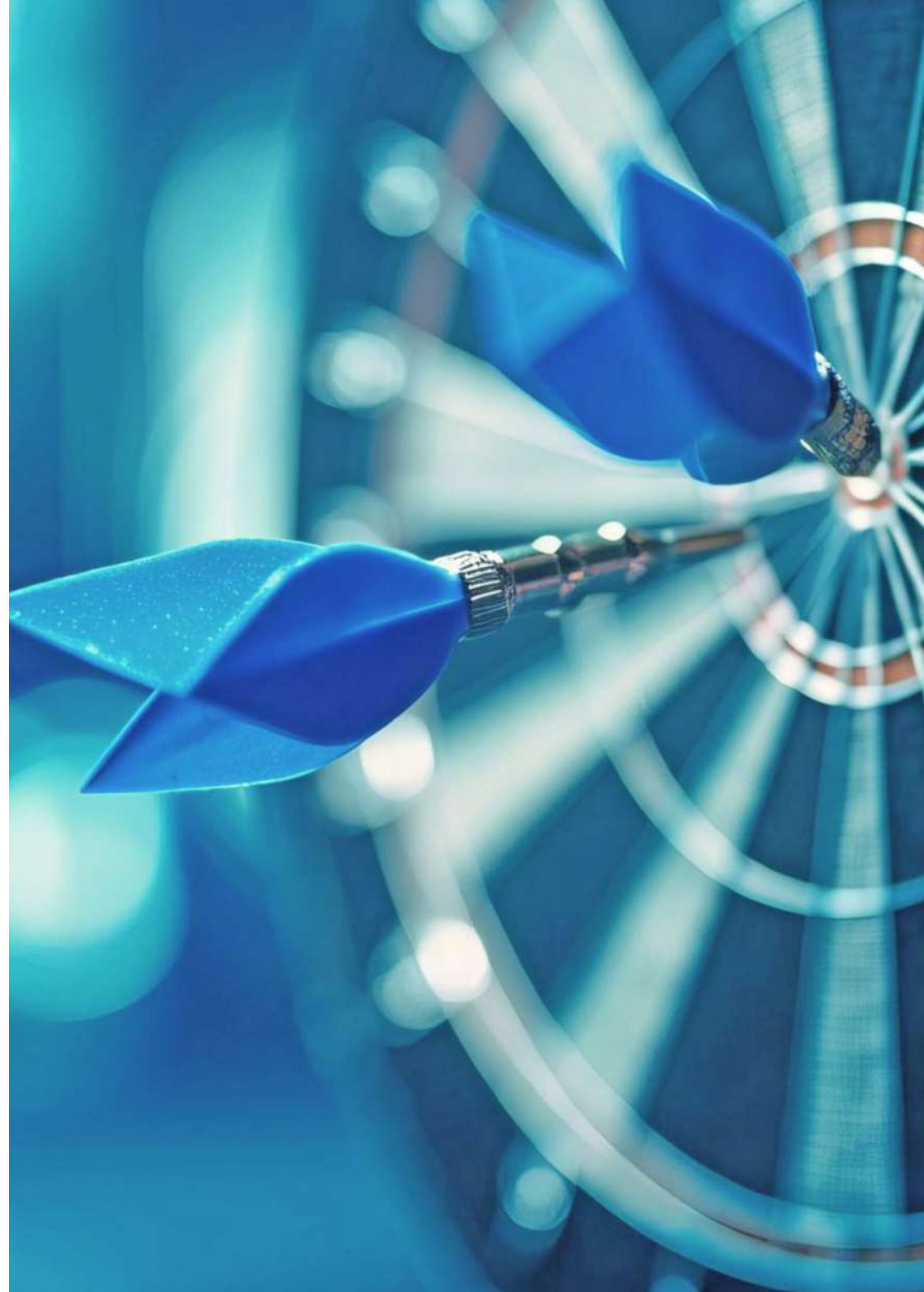
- An Introduction

www.greatchatwellacademy.com

Our Purpose

Your approach to **compliance** changes everything.

Your ambitions may be big and clear, but without the right compliance skills, technology, talent, and strategy in place your firm's goals may be out of reach. That's where GCAL helps.



Experience is Everything



We're a Senior Compliance Team.

Practitioners, trainers, former regulators and technologists who support you to develop, execute and assist compliance strategies that are powered by innovation and designed to succeed.

MLRO and Nominated Officer

More than 35 years financial services operations experience, MLRO, Nominated Officer and Head of Compliance and Data Controller.

Former Director, Fraud Investigation Service, HMRC

A leading authority on tackling serious tax fraud and money laundering.

Global Head of Sanctions

Former OFAC Enforcement Officer with over 10 years senior management experience leading global sanctions compliance programmes at Silicon Valley Bank and Citibank.

Financial Crime Controls Expert

Former lead of financial crime risk & controls at Metro Bank and financial crime risk & controls officer at Barclays private bank.

C-Suite Technology Advisor and Global Authority

Recognised authority in banking regulation, RegTech and FinTech, also the chair of Payment Systems Regulator.

Intelligence Director

Internationally recognised expert in identifying hidden assets, tracing assets and combatting fraudulent activity.

Workplace Culture Expert

TEDx speaker, bestselling author, NED and regular media commentator on creating inclusive workplace cultures.

Cyber Risk and Resilience Expert

Senior consultant who connects business and technical leadership of digital innovation, cyber resilience and data protection.

Executive Leadership Coach

Experienced communications trainer and coach who provides practical skills training that significantly improves performance.

Crypto Regulation Expert

A globally recognised expert in crypto regulation. Former Chief Compliance Officer of BitMEX, Revolut and EQONEX.

A Trusted Partner

- Benchmark the skills level of your team against your competitors.
- Offer the right course(s) and learning path for each role and skill level.
- Develop in-house capabilities and drive business results.
- Measure and prove the ROI of your training investment.

—— **1,000 +**
Learners per year

—— **880 +**
University of Gloucestershire Certifications

—— **3**
Levels of Certification

—— **1,000 +**
CPD Certificates Issued

—— **GCAL Clients include**



A Leader in Financial Crime Skills Development



90% +
Learners
engagement



100 +
Learning
modules



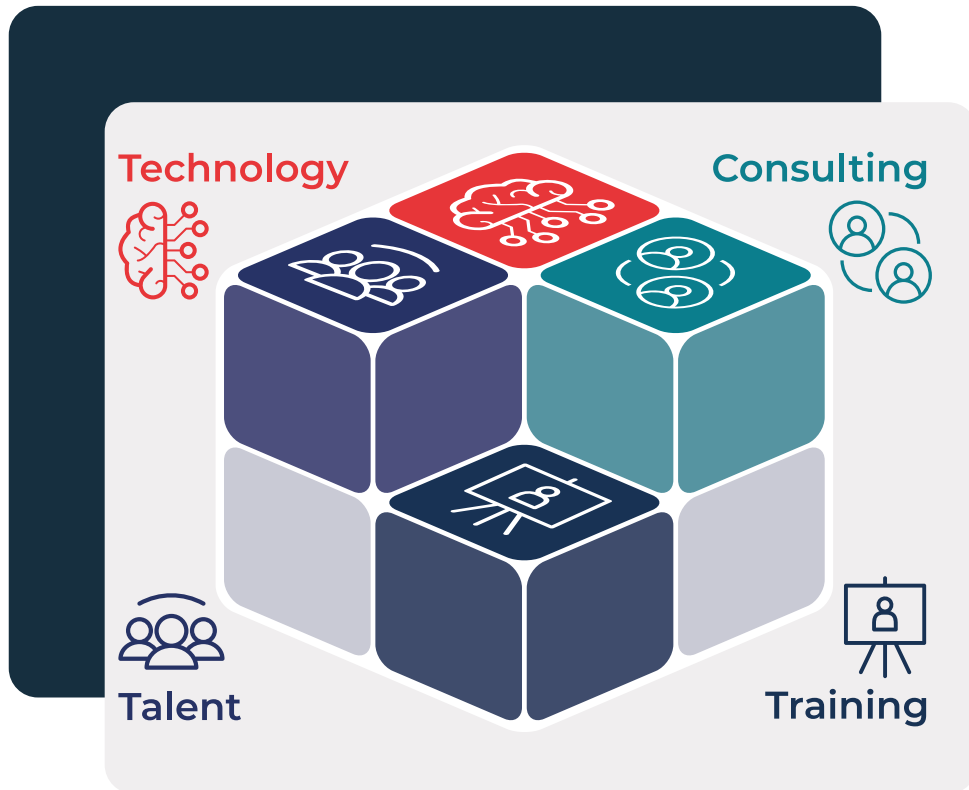
100 +
Bite size
lessons



30 +
Practical
resources



Why We Are Different



We **empower every** person and organisation to be **compliance confident** to achieve their dreams by being a one stop shop solution that will:

- Aggregate
- Consolidate
- Simplify all things
- Show compliance



We call it 'compliance in a box', **connecting the compliance dots** between your tech, training, consultancy, and talent into one single entity to achieve for you, compliance and excellence.

Services

Our range of services are designed to focus on our clients' most critical compliance challenges.

We see four key areas where our support is most often required.



Our range of services



- 1 -
Consulting



- 2 -
Training



- 3 -
Talent
Resource



- 4 -
Technology & Risk
Assessment



1. Consulting

We get under the skin of our clients' most important compliance issues

to define simple, yet powerful, insights and strategies that build long-term value creation, not short-term activity.

Services most used by our clients to solve a range of compliance challenges include:



Great Chatwell act as a true partner, they worked with us to understand the firm, and supported us in implementing practical policies and procedures to achieve the firms' goals whilst complying with our regulatory obligations.

MLRO - Shieldpay

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Performing Compliance
Health Checks



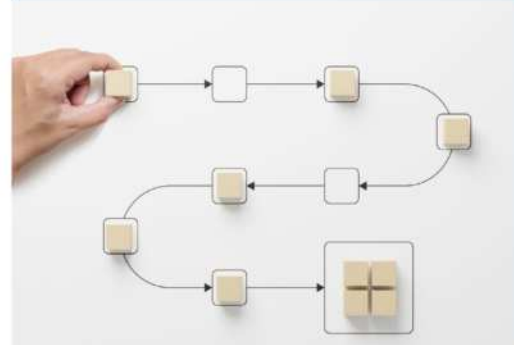
Conducting
Gap Analysis



Control Testing and
Assurance
Framework Assessment



Designing
Policies, Controls,
and Procedures





2. Training

How do you make real change happen and make it stick?

From building a single training module for a unique compliance challenge to developing a firm wide Compliance & Conduct Academy, we create learning experiences that activate change, and help drive business goals and strategy.

Yesterday was genuinely one of the best sessions I have had in a long while, if not ever. It was practical - that is I think the key for me.

As a new MLRO, I don't need theory and textbook. I need practical examples and stuff that I use and implement - I got that in overload yesterday and that really excites me for the rest of the course

MLRO



Expert-led learning

Ensure that every employee has the compliance knowledge, capability and competence to perform their role.



Role specific learning

Tailored learning solutions designed to meet the specific needs of individuals, your team, and the firm.



Content

Work-based learning that supports your employees to make the right decisions at the right moments.



Learning as a service

Content, technology and LMS. We are your one-stop shop for driving change, strategy and culture through learning.



2. FCC & Conduct Academy

The GCAL FCC & Conduct Academy has an extensive range of courses that can be on-demand or instructor led

- ✓ Financial Crime Compliance
- ✓ Fin. Crime Risk Assessment
- ✓ AML Essentials
- ✓ AML General
- ✓ OSINT
- ✓ Advanced OSINT
- ✓ Customer Due Diligence
- ✓ SARs investigations
- ✓ Sanctions
- ✓ Data Protection
- ✓ Whistleblowing
- ✓ Offshore Banking
- ✓ ESG
- ✓ Leadership
- ✓ Crypto Essentials
- ✓ Cyber Essentials
- ✓ Terrorist Financing
- ✓ Anti Bribery & Corruption
- ✓ Trade Based Money Laundering
- ✓ Fraud
- ✓ Tax Crimes
- ✓ Human Trafficking
- ✓ Proliferation Financing
- ✓ Correspondent Banking
- ✓ Company Account Analysis
- ✓ AML Legal & Accounting Sector
- ✓ Personal Behaviour
- ✓ Culture

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The screenshot displays the homepage of the Great Chatwell Financial Crime Compliance & Conduct Academy. The header features the Great Chatwell logo and navigation links for Training, Technology, FCC & Conduct, Culture, Learning, and Finance. The main heading is 'Financial Crime Compliance & Conduct Academy'. Below this, a section titled 'Our Courses and Programmes' presents a grid of 48 course cards. Each card includes a thumbnail image, a title, a brief description, and buttons for 'Learn More' and 'Enquire'. The courses are organized into categories such as 'The MLRO Accelerator Programme', 'The GCAL Introduction to Performing Open Source Due Diligence', 'The GCAL Advanced Financial Crime Compliance Certificate', and various specialized modules like 'AML - Trade-Based Money Laundering', 'AML for the Legal Sector', 'AML for the Accounting Sector', 'AML for Correspondent Banking', 'Blockchain & Virtual Currency Risk Management', 'Cyber Essentials', 'Suspicious Activity Reporting (SAR)', 'Advanced SAR Reporting & Analysis', 'Managing Fraud Risk', 'Analysing Financial Accounts', 'Offshore Banking', 'Personal Behaviour', 'Culture & Diversity', 'Advanced Fraud Awareness', 'New Risk Assessment', 'Proliferation Financing', 'Whistleblowing', 'Data Protection', 'Financial Crime Compliance (AML2) - eLearning Course', 'Anti-Bribery & Corruption - eLearning Course', 'Anti-Money Laundering (AML2) - eLearning Course', 'Managing Tax Evasion Risk - eLearning Course', 'Managing Sanctions and Sanctions Evasion Risk - eLearning Course', and 'Managing Fraud Risk - eLearning Course'.



2. Learning Experience Platform

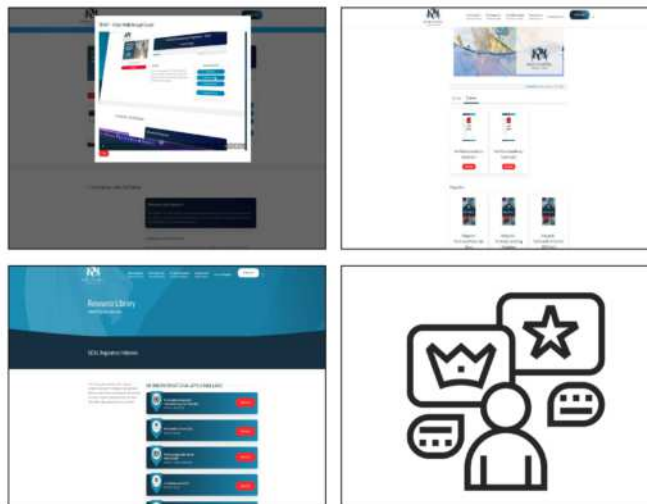
Firms often struggle to build a strong AML culture because they rely on a rigid LMS (learning management system) that doesn't align with how employees really learn. In contrast, we provide firms with an effective learning experience platform that your people will want to engage with and you can manage, track and report with ease measurable compliance improvements.

Role specific learning

Give your staff immediate access to timely, relevant, 'need to know' content personalised to their specific role.

Training that's memorable

Ensure that learning is retained with reinforcement and practice exercises.



One source of truth

Measure through a single dashboard the real-time impact and long-term behavioural improvements of each employee.

Learning ROI

Protect your training investment by making ongoing learning part of your employee's everyday routine.



3. Talent Resource

Finding suitable and appropriately qualified senior financial crime compliance staff is proving difficult.

We've made it easy to add experienced, pre-vetted senior compliance talent to your team in less than a week.



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We needed to accelerate the implementation of our enhanced Risk Mgt Framework due to the firm's rapid growth – GCAL provided us with an interim Head of Ops Risk and the quality of the person and skill was 5 stars

Compliance Officer, Payments Firm



Contract Recruitment

Hire contractors, when it matters most for immediate remediation or clearing backlog.



Interim Leadership

During growth or during change, get the right leader to support your new CCO & MLRO in their first 100 days.



Talent On Demand

Highly skilled support on short- or long-term assignments to manage or lead unexpected regulatory requests.



Change and Transformation

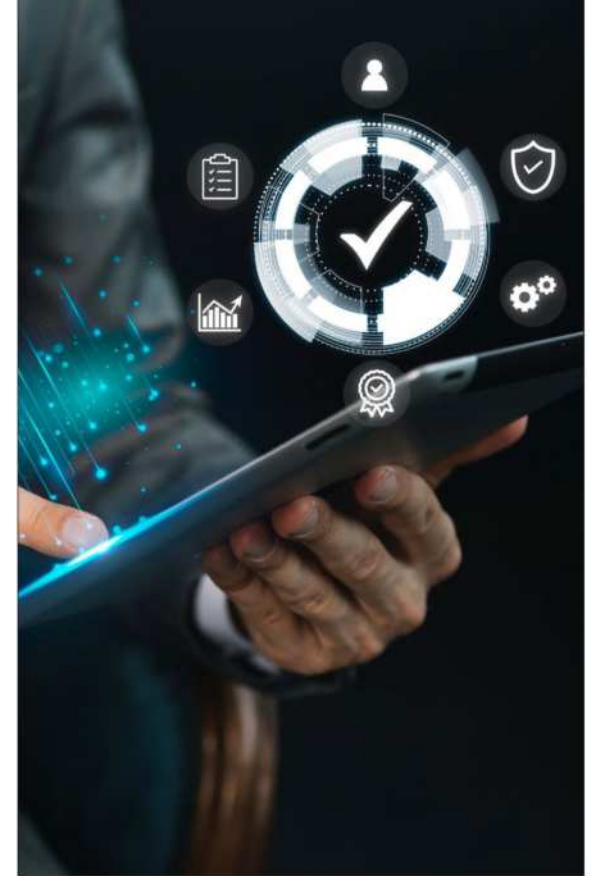
Proven technology transformation leaders for programmes to help your firm work smarter and grow faster.



4. Technology

We optimise your compliance processes to enable you to deliver more; providing the technology, expertise and support to streamline and simplify processes across your firm.

Being independent and agnostic concerning product vendors and system implementers, we will help you get there without bias.



Leading and implementing

enterprise-wide risk assessments.



Support for procurement

and/or upgrading of your RegTech solutions.



Deployment of a proactive data

led compliance programme.



Conducting sanction screening

& transaction monitoring reviews.



4. Risk Assessment

Understanding your firm's financial crime risks is key to building an effective risk based financial crime compliance (FCC) framework. Conducting a financial crime risk assessment can be daunting, problematic and time consuming.

GCAL supports you identify, assess, update, manage, monitor and report on your most important risks.



Undertake a health check

on your current FC Risk Assessment, comparing the results with industry good practice and regulatory expectations.



Support for the design and implementation

of a EWFC and CRA to improve and evolve your compliance programme using our proprietary solution.



Digitalise your risk assessment

to transform your FC risk assessment process, saving you time with automatic updates in response to crime and regulatory risk changes.



Communication and training

for all levels of employees and the board, providing enhanced MI and record keeping to support regulatory inspection.

Contact Us



Email

info@greatchatwellacademy.com

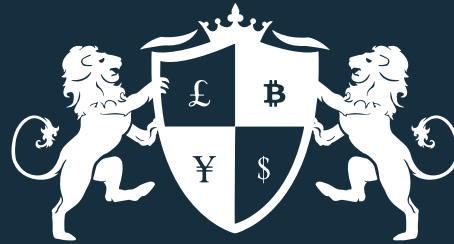


Website

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